Form ISR - 1

(see SEBI circular No. SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2021/655 dated November 03, 2021 on Common and Simplified Norms for processing investor's service request by RTAs and norms for furnishing PAN, KYC details and Nomination)

REQUEST FOR REGISTERING PAN, KYC DETAILS OR CHANGES / UPDATION THEREOF

[For Securities (Shares / Debentures / Bonds, etc.) of listed companies held in physical form]

A. I / We request you to Register / Change / Update the following (Tick ✓ relevant box)								
PAN			Bank details	Signature				
☐ Mobile number			E-mail ID	Address				
S	Security Details	S:						
B. Security Details: Name of the Issuer Company			SASKEN TECHNOLOGIES LIMITED	Folio No.:				
	• •		1. 2. 3.					
ecur	ities		Face value of securities: Rs. 10 each					
		of securities	From	То				
C. I / We are submitting documents as per Table below (tick ✓ as relevant, refer to the								
✓	Document / Information	Instruction / Remark						
PAI	•	oint) holder(s)	int) holder(s)					
	PAN Whether it is Valid (linked to							
		PAN shall be valid only if it is linked to Aadhaar by March 31, 2022* For Exemptions / Clarifications on PAN, please refer to Objection Memo in page 4						
	Demat Account Number							
		Also provide Client Master List (CML) of your Demat Account, provided by the Depository Participant.						
	P. Moreover P. Mor	PAN Mobile number Security Details ame of the Issuer of the Security of the Security of the Security of the Securities of the Certificate of t	PAN Mobile number	PAN Bank details Security Details: ame of the Issuer Company SASKEN TECHNOLOGIES LIMITED ame(s) of the Security holder(s) 1. seper the Certificate(s) 2. 3. umber & Face value of ecurities istinctive number of securities istinctive number of securities Pan of (all) the (joint) holder(s) PAN Whether it is Valid (linked to Aadhaar): PAN Shall be valid only if it is linked to Aadha for Exemptions / Clarifications on PAN, plage 4 Demat Account Number Also provide Client Master List (CML) of your content of the content of				

3	Proof	of	Any one of the documents, only	if there is change in the	address;		
	Addres the	s of first	Client Master List (CML) of	f your Demat Account, pr	ovided by DP.		
	holder	11130	☐ Valid Passport/ Register	ed Lease or Sale Agree	ment of Residence /		
			Driving License / Flat Mainten				
			Utility bills like Telephone	Bill (only land line), Elec	tricity bill or Gas bill -		
			Not more than 3 months old.				
			\square Identity card / document	with address, issued by	any of the following:		
			Central/State Government a Authorities, Public Sector U Public Financial Institutions.	•			
			For FII / sub account, Power of Attorney given by FII / sub-account to the				
			Custodians (which are duly r that gives the registered addre	· · · · · · · · · · · · · · · · · · ·	tilled or consularised)		
	4 Bank details		\square The proof of address in the name of the spouse				
4			Account Number:				
			Bank Name:				
			Branch Name: IFS Code:		_		
			Provide the following:				
			original cancelled cheque	with name of security h	older printed on it <i>or</i>		
			Bank Passbook or Bank Stateme	ent attested by the Bank	#		
5	E-mail addres		ш				
6	Mobile		#				
			#				
* or any date as may be specified by the CBDT (DP: Depository Participant)							
# 1	n case it is n	ot prov	vided, the details available in the	CIVIL WIII be upaatea in ti	ne Jolio		
Aut	horization:	/ We	e authorise you (RTA) to update	the above PAN and KYC	details in my / our folio		
(s) _	<i>.</i>		,(use Separate Annexure if ex	xtra space is required) ir	which I / We are the		
			nat is not applicable).				
Dec	laration: All	the ab	ove facts stated are true and cor Holder 1	rect. Holder 2	Holder 3		
Signature	Signature \(noidel 1	noiuei 2	noidei 5		
~-8							
Name		/					
Full address \(
PIN		√ [

Mode of submission of documents to the RTA

Please use any one of the following mode;

- 1. In Person Verification (IPV): by producing the originals to the authorized person of the RTA, who will retain copy(ies) of the document(s)
- 2. In hard copy: by furnishing self-attested photocopy(ies) of the relevant document, with date
- 3. Through e-mail address already registered with the RTA, with e-sign of scanned copies of documents
- 4. Service portal of the RTA with e-sign with scanned copies of documents, if the RTA is providing such facility

Note

- It is mandatory for holders of physical securities in listed company to furnish PAN, full KYC details (address proof, bank details, e-mail address, mobile number) and Nomination (for all the eligible folios).
- Upon receipt or up-dation of bank details, the RTA will automatically, pay electronically, all the moneys of / payments to the holder that were previous unclaimed / unsuccessful.
- RTA shall update the folio with PAN, KYC details and Nominee, within seven working days of its receipt. However, cancellation of nomination, shall take effect from the date on which this intimation is received by the company / RTA.
- RTA shall not insist on Affidavits or Attestation / Notarization or indemnity for registering / up-dating / changing PAN, KYC details and Nomination.

• Provide banker's attestation of the signature of the holder(s) as per				
<u>Form ISR – 2</u> in <u>SEBI circular</u>				
SEBI/HO/MIRSD/MIRSD RTAMB/P/CIR/2021/655 dated November 03,				
<u>2021</u>) and				
• original cancelled cheque with name of security holder printed on it or				
Bank Passbook or Bank Statement attested by the Bank				
• Providing Nomination: Please submit the duly filled up Nomination				
Form (SH-13) or 'Declaration to Opt out of Nomination' as per Form				
ISR-3, in SEBI circular SEBI/HO/MIRSD/MIRSD_RTAMB/P/ CIR/2021/655				
dated November 03, 2021				
• Change in Existing Nomination: Please use Form SH-14 in SEBI circular				
SEBI/HO/MIRSD/MIRSD_RTAMB/P/CIR/2021/655				
 Cancellation of Existing Nomination: use <u>Form SH-14</u> <u>& Form ISR − 3</u> 				

^{**} Nomination (Form SH-13 or SH-14) / 'Declaration to Opt-Out of nomination' (Form ISR – 3), has to be furnished by the holder(s) separately for each listed company.

(Page 3 & 4 is for information to investors; print out of the same is not required)

Objection Memo that can be raised by the RTA

(only if the relevant document / details is / are not available in the folio or if there is a mismatch / discrepancy in the same or change thereof)

Note

RTAs shall raise all objections, if any / at all, in one instance only; the RTA shall not raise further objections on the same issue again and again, after the holder / claimant furnishes all the prescribed documents and details, unless there is any deficiency / discrepancy in the same.

No.	ltem	Documents / Details to be provided to the RTA by the holder(s) / claimant(s)		
1	PAN – Exceptions and Clarification	'Exemptions/clarifications to PAN', as provided in clause D to 'Instructions/Check List for Filing KYC Forms' in Annexure – 1 to SEBI circular No. MIRSD/SE/Cir-21/2011 dated October 05, 2011 on Uniform Know Your Client (KYC) Requirements for the Securities Market, shall also applicable for holder(s) / claimant(s) of securities held in physical mode.		
2	Minor mismatch in Signature - minor	The RTA shall intimate the holder at the existing address, seeking objection, if any, within 15 days		
4	Major mismatch in Signature or its non- availability with the RTA Mismatch in Name	 Banker's attestation of the signature of the holder(s) as per Form ISR – 2 Original cancelled cheque with name of security holder printed on it or Bank Passbook or Bank Statement attested by the Bank Furnish any one of the following documents, explaining the difference in names; Unique Identification Number (UID) (Aadhaar) 		
		 Valid Passport Driving license PAN card with photograph Identity card / document with applicant's Photo, issued by any of the following: Central / State Government and its Departments, Statutory / Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions Marriage certificate Divorce decree 		
5	Present address of the holder is not matching with the address available in the folio	 RTA shall issue intimation to both the old and new addresses. In case where the letter is undelivered at the old address, RTA shall not insist for any proof of the old address provided the current address proof is in the form of an address proof issued by a Government Authority. The above procedure will be applicable for request for change in address of the holder also 		

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